

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of claims:

1. (currently amended) A method for managing concentration of debt, the method comprising:
determining a debt concentration threshold; and
associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold; and

Q4 providing one or more company representatives with unilateral authority to implement the condition and change a parameter of the debt instrument.

2. (original) A method according to claim 1, further comprising issuing the debt instrument with the associated condition.

3. (original) A method according to claim 1, further comprising changing at least one parameter of the debt instrument.

4. (original) A method according to claim 3, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

5. (original) A method according to claim 1, further comprising monitoring concentration of debt instruments by entities.

6. (original) A method according to claim 1, further comprising changing the debt concentration threshold.

7. (original) A method according to claim 1, wherein the entity is an investor.
8. (original) A method according to claim 7, wherein the investor is an individual investor.
9. (original) A method according to claim 7, wherein the investor is an institutional investor.
10. (original) A method according to claim 1, wherein the entity is an affiliated group of investors.
11. (original) A method according to claim 1, wherein the entity is a group of investors acting in concert.
12. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.
13. (original) A method according to claim 1, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.
14. (original) A method according to claim 1, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.
15. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict voting.
16. (original) A method according to claim 1, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.
17. (original) A method according to claim 1, wherein the parameter changed by the condition serves to change the series of the debt instrument.
18. (currently amended) A method for managing concentration of debt, the method comprising:

determining a debt concentration threshold;

associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold;

providing one or more company representatives with unilateral authority to implement the condition and change a parameter of the debt instrument;

issuing the debt instrument with associated condition;

monitoring concentration of debt instruments by entities; and

changing at least one parameter of the debt instrument.

19. (currently amended) A method for managing concentration of debt, the method comprising:

issuing a debt instrument;

determining a debt concentration threshold;

associating a condition with the debt instrument after issue of the debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold;

providing one or more company representatives with unilateral authority to implement the condition and change a parameter of the debt instrument;

monitoring concentration of debt instruments by entities; and

changing at least one parameter of the debt instrument.

20. (currently amended) Computer executable software code transmitted as an information signal, the code for managing concentration of debt, the code comprising:

code to determine a debt concentration thresholds; and

code to associate a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold; and

code to provide one or more company representatives with unilateral authority to implement the condition and change a parameter of the debt instrument.

21. (currently amended) A computer readable medium having computer executable software code stored thereon, the code for managing concentration of debt, the code comprising:

code to determine a debt concentration threshold; and

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code to associate a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold; and

code to provide one or more company representatives with unilateral authority to implement the condition and change a parameter of the debt instrument.

22. (currently amended) A programmed computer for managing concentration of debt, comprising:

a memory having at least one region for storing computer executable software code; and

a processor for executing the program code stored in memory, wherein the program code comprises:

code to determine a debt concentration threshold; and

code to associate a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more

debt instruments than the debt concentration threshold; and

code to provide one or more company representatives with unilateral authority to implement the condition and change a parameter of the debt instrument.

23. (new) A method according to claim 1, wherein the one or more company representatives include one or more members of a board of directors.

24. (new) A system for managing concentration of debt, the system comprising:
means for determining a debt concentration threshold;
means for associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold; and

means for providing one or more company representatives with unilateral authority to implement the condition and change a parameter of the debt instrument.

25. (new) A system according to claim 24, further comprising means for issuing the debt instrument with the associated condition.

26. (new) A system according to claim 24, further comprising means for changing at least one parameter of the debt instrument.

27. (new) A system according to claim 26, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

28. (new) A system according to claim 24, further comprising means for monitoring concentration of debt instruments by entities.

29. (new) A system according to claim 24, further comprising means for changing the debt concentration threshold.

30. (new) A system according to claim 24, wherein the entity is an investor.
31. (new) A system according to claim 30, wherein the investor is an individual investor.
32. (new) A system according to claim 30, wherein the investor is an institutional investor.
33. (new) A system according to claim 24, wherein the entity is an affiliated group of investors.
34. (new) A system according to claim 24, wherein the entity is a group of investors acting in concert.
35. (new) A system according to claim 24, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.
36. (new) A system according to claim 24, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.
37. (new) A system according to claim 24, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.
38. (new) A system according to claim 24, wherein the parameter changed by the condition serves to restrict voting.
39. (new) A system according to claim 24, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.
40. (new) A system according to claim 24, wherein the parameter changed by the condition serves to change the series of the debt instrument.
41. (new) A system according to claim 24, wherein the one or more company representatives include one or more members of a board of directors.

42. (new) A method according to claim 18, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

43. (new) A method according to claim 18, further comprising changing the debt concentration threshold.

44. (new) A method according to claim 18, wherein the entity is an investor.

45. (new) A method according to claim 44, wherein the investor is an individual investor.

46. (new) A method according to claim 44, wherein the investor is an institutional investor.

47. (new) A method according to claim 18, wherein the entity is an affiliated group of investors.

48. (new) A method according to claim 18, wherein the entity is a group of investors acting in concert.

49. (new) A method according to claim 18, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

50. (new) A method according to claim 18, wherein the parameter changed by the condition serves to restrict voting.

51. (new) A method according to claim 18, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.

52. (new) A method according to claim 18, wherein the parameter changed by the condition serves to change the series of the debt instrument.

53. (new) A method according to claim 18, wherein the one or more company

representatives include one or more members of a board of directors.

54. (new) A method according to claim 19, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

55. (new) A method according to claim 19, further comprising changing the debt concentration threshold.

56. (new) A method according to claim 19, wherein the entity is an investor.

57. (new) A method according to claim 56, wherein the investor is an individual investor.

58. (new) A method according to claim 56, wherein the investor is an institutional investor.

59. (new) A method according to claim 19, wherein the entity is an affiliated group of investors.

60. (new) A method according to claim 19, wherein the entity is a group of investors acting in concert.

61. (new) A method according to claim 19, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

62. (new) A method according to claim 19, wherein the parameter changed by the condition serves to restrict voting.

63. (new) A method according to claim 19, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.

64. (new) A method according to claim 19, wherein the parameter changed by the condition serves to change the series of the debt instrument.

65. (new) A method according to claim 19, wherein the one or more company representatives include one or more members of a board of directors.

66. (new) Computer executable software code according to claim 20, further comprising code to issue the debt instrument with the associated condition.

67. (new) Computer executable software code according to claim 20, further comprising code to change at least one parameter of the debt instrument.

68. (new) Computer executable software code according to claim 67, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

69. (new) Computer executable software code according to claim 20, further comprising code to monitor concentration of debt instruments by entities.

70. (new) Computer executable software code according to claim 20, further comprising code to change the debt concentration threshold.

71. (new) Computer executable software code according to claim 20, wherein the entity is an investor.

72. (new) Computer executable software code according to claim 71, wherein the investor is an individual investor.

73. (new) Computer executable software code according to claim 71, wherein the investor is an institutional investor.

74. (new) Computer executable software code according to claim 20, wherein the entity is an affiliated group of investors.

75. (new) Computer executable software code according to claim 20, wherein the entity is a group of investors acting in concert.

76. (new) Computer executable software code according to claim 20, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.

77. (new) Computer executable software code according to claim 20, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.

78. (new) Computer executable software code according to claim 20, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

79. (new) Computer executable software code according to claim 20, wherein the parameter changed by the condition serves to restrict voting.

80. (new) Computer executable software code according to claim 20, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.

81. (new) Computer executable software code according to claim 20, wherein the parameter changed by the condition serves to change the series of the debt instrument.

82. (new) Computer executable software code according to claim 20, wherein the one or more company representatives include one or more members of a board of directors.

83. (new) A computer readable medium according to claim 21, further comprising code to issue the debt instrument with the associated condition.

84. (new) A computer readable medium according to claim 21, further comprising code to change at least one parameter of the debt instrument.

85. (new) A computer readable medium according to claim 84, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

86. (new) A computer readable medium according to claim 21, further

comprising code to monitor concentration of debt instruments by entities.

87. (new) A computer readable medium according to claim 21, further comprising code to change the debt concentration threshold.

88. (new) A computer readable medium according to claim 21, wherein the entity is an investor.

89. (new) A computer readable medium according to claim 88, wherein the investor is an individual investor.

90. (new) A computer readable medium according to claim 88, wherein the investor is an institutional investor.

91. (new) A computer readable medium according to claim 21, wherein the entity is an affiliated group of investors.

92. (new) A computer readable medium according to claim 21, wherein the entity is a group of investors acting in concert.

93. (new) A computer readable medium according to claim 21, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.

94. (new) A computer readable medium according to claim 21, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.

95. (new) A computer readable medium according to claim 21, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

96. (new) A computer readable medium according to claim 21, wherein the parameter changed by the condition serves to restrict voting.

97. (new) A computer readable medium according to claim 21, wherein the

parameter changed by the condition serves to restrict redemption of the debt instrument.

98. (new) A computer readable medium according to claim 21, wherein the parameter changed by the condition serves to change the series of the debt instrument.

99. (new) A computer readable medium according to claim 21, wherein the one or more company representatives include one or more members of a board of directors.

100. (new) A programmed computer according to claim 22, further comprising code to issue the debt instrument with the associated condition.

101. (new) A programmed computer according to claim 22, further comprising code to change at least one parameter of the debt instrument.

102. (new) A programmed computer according to claim 101, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.

103. (new) A programmed computer according to claim 22, further comprising code to monitor concentration of debt instruments by entities.

104. (new) A programmed computer according to claim 22, further comprising code to change the debt concentration threshold.

105. (new) A programmed computer according to claim 22, wherein the entity is an investor.

106. (new) A programmed computer according to claim 105, wherein the investor is an individual investor.

107. (new) A programmed computer according to claim 105, wherein the investor is an institutional investor.

108. (new) A programmed computer according to claim 22, wherein the entity is

an affiliated group of investors.

109. (new) A programmed computer according to claim 22, wherein the entity is a group of investors acting in concert.

110. (new) A programmed computer according to claim 22, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.

111. (new) A programmed computer according to claim 22, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.

112. (new) A programmed computer according to claim 22, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.

113. (new) A programmed computer according to claim 22, wherein the parameter changed by the condition serves to restrict voting.

114. (new) A programmed computer according to claim 22, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.

115. (new) A programmed computer according to claim 22, wherein the parameter changed by the condition serves to change the series of the debt instrument.